A Mini-Review of the Environmental Footprint of Lithium-Ion Batteries for Electric Vehicles

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Abstract

The pressing requirement to combat climate change and reduce greenhouse gas emissions has catalyzed the development of sustainable mobility solutions. This review presents a detailed analysis of the environmental issues associated with traditional transportation systems, highlighting the significant role of sustainable mobility in addressing these challenges. Important strategies, including electric vehicles (EVs), mass transit, active transportation, and innovative mobility options, are examined. The review accentuates the necessity to cultivate more habitable communities, diminish emissions, enhance air quality, elevate energy efficiency, and contribute to a prosperous future through the adoption of sustainable mobility. The transition to sustainable transportation necessitates comprehensive policies, enabling regulations, and public participation. The creation and implementation of sustainable mobility strategies, the promotion of cleaner products and methods, and the fostering of collaboration across various sectors are pivotal roles for governments, legislators, and stakeholders. Additionally, public awareness campaigns and educational programs can drive behavioral changes and encourage the adoption of sustainable mobility solutions.

Keywords: Sustainability; Electric Vehicles; Emissions; Public Transit; Lithium-Ion Batteries

1 Introduction

The rise in popularity of sustainable mobility solutions can be attributed to the ongoing global climate change and an urgent need to reduce greenhouse gas emissions. Electric vehicles (EVs), powered by lithium-ion batteries, offer potential reductions in emissions and improvements in air quality. Owing to their high energy density, fast charging capabilities, and extended driving ranges, lithium-ion batteries have revolutionized the automotive industry [1, 2]. As EV sales trend upwards, the production, utilization, and disposal of lithium-ion batteries warrant closer scrutiny to ensure minimal environmental harm. A common method to evaluate the environmental impact of lithium-ion batteries is through lifecycle analysis (LCA). This approach assesses the environmental impact of a system or product across all stages of its life cycle, including raw material extraction, manufacturing, usage, and disposal. Lifecycle analysis has been applied extensively in environmental impact studies on lithium-ion batteries. For instance, Ellingsen [3] explored the environmental implications of Nordic lithium-ion batteries, highlighting the importance of the electricity generation mix, materials, and energy sources during the usage phase. Majeau-Bettez et al. [4] conducted a life cycle assessment (LCA) on lithium-ion batteries, comparing their environmental performance to that of internal combustion engines. The environmental advantages of electric vehicles are largely dependent on the lifespan of the battery and the source of electricity generation. Understanding the environmental impacts of lithium-ion batteries for electric vehicles is
crucial for regulators, manufacturers, and consumers as it can guide the development of energy-efficient manufacturing, the integration of renewable energy, and end-of-life management.

Such understanding can help increase the viability of electric vehicles and foster the growth of the low-carbon transportation industry. This study delves into the environmental impact of lithium-ion batteries in EVs, with a focus on their production, use, and end-of-life management. The aim is to contribute valuable insights into EV sustainability and potential strategies to minimize their environmental impact. The extraction and processing of raw materials for lithium-ion batteries is the first aspect to be examined, including the environmental effects of lithium, cobalt, nickel, and graphite extraction. Knowledge of these effects can lead to the identification of environmentally friendly mining methods, policies, and technologies [5]. Next, the environmental impact of battery manufacturing is evaluated. Given that battery production necessitates energy, chemicals, and waste, suggestions for reducing energy consumption, emissions, and waste can be made to foster sustainable manufacturing. Following this, the environmental performance of lithium-ion batteries during usage is assessed, which involves evaluating indirect emissions from battery use and the power mix for EV charging. A comparison of lithium-ion batteries with internal combustion engine vehicles provides an opportunity to gauge their environmental benefits. Lastly, the administration of lithium-ion batteries at the end of their life cycle is investigated, focusing on battery recycling and resource recovery. Promoting efficient recycling and the circular economy can help reduce waste, conserve valuable resources, and mitigate environmental risks.

2 Life Cycle Assessment (LCA) for Lithium-Ion Batteries

Life Cycle Assessment (LCA) is a process that quantifies the environmental impact of a product or system throughout its entire life cycle, from raw material extraction to end-of-life management. It's an indispensable tool for gauging the environmental impacts and enhancing the sustainability of lithium-ion batteries used in Electric Vehicles (EVs) [6]. The LCA process begins with the goal and scope definition, determining the purpose, parameters, and objectives of the study. For a lithium-ion battery, its energy capacity serves as the functional unit, and the scope includes all processes from raw material extraction to end-of-life management. Following this, the inventory analysis phase collects and quantifies energy flows, inputs, and outputs from each life cycle stage to form a Life Cycle Inventory (LCI) [7]. The LCI data are then categorized by impact category (ecotoxicity, resource depletion, human toxicity, and climate change) in the impact assessment phase. The data are then transformed into environmental impact indicators, such as carbon footprints, water footprints, and eco-indicators [8]. Finally, the interpretation step involves analyzing the LCA results to identify environmental hotspots, assess the sensitivity of the results to different parameters and assumptions, and consider impact category trade-offs [9]. The benefits of using LCA for assessing the environmental impacts of lithium-ion batteries are manifold. LCA helps in identifying environmental hotspots and suggesting ways to minimize energy consumption, emissions, or the use of hazardous materials [10]. It assists decision-makers by offering data-driven insights that can guide environmentally friendly decisions and inform policy, business standards, and eco-labeling [11]. Moreover, LCA provides a platform for comparing different battery technologies or management methods. It evaluates trade-offs among environmental impact categories and promotes eco-design and innovation in battery technology. It also addresses stakeholder concerns about the environmental impact of lithium-ion batteries [12, 13]. Taking a lifespan perspective in LCA studies allows for a more holistic assessment of lithium-ion batteries. This approach helps to avoid environmental burden shifting and provides insight into long-term consequences [12]. For instance, LCA studies that consider the entire lifespan of a battery can evaluate degradation, performance reduction, and the need for replacement or refurbishment. They can also assess the environmental benefits of battery reuse or second-life usage [14]. Furthermore, LCA studies can consider the environmental impact of disposal and recycling of lithium-ion batteries, guiding the reduction of environmental impact and promotion of the circular economy [15]. Incorporating the entire battery life cycle in the study can also guide the formulation of regulations and foster the creation of sustainable batteries.

3 Mining and Processing of Lithium-ion Battery Materials and its Environmental Consequences

Lithium-ion batteries, crucial for energy storage in electric vehicles and renewable energy systems, necessitate the use of various raw materials (Table 1). Understanding these requirements aids in assessing their environmental impact and ensuring a sustainable supply chain. Battery compositions vary based on chemistry, manufacturer, and application. Some chemistries require lithium iron phosphate (LiFePO₄), a cobalt substitute. The extraction and processing of these materials, however, can be environmentally hazardous. Addressing resource availability, extraction methods, energy consumption, water usage, and waste management is necessary for sustainable production. Efforts are underway to promote ethical sourcing, responsible mining, and reduced environmental impact. Substitute materials and chemicals are also being developed to reduce raw material consumption [16]. The extraction of lithium-ion battery raw materials like lithium, cobalt, nickel, graphite, manganese, aluminum, and copper may have negative environmental impacts. These include deforestation, habitat degradation, soil degradation, and water and air pollution. Mining and refining can emit greenhouse gases and pollute the air with dust and emissions like CO₂ and CH₄. Additionally, high-energy processes involved in extraction and fossil fuel usage for energy may result in increased carbon emissions and environmental problems. Mismanagement of mining waste can result in trash mounds and contamination, depleting land resources while polluting the soil and water [17]. Sustainable practices, such as
strict environmental laws and monitoring programs, land restoration, transparency and responsible sourcing, and the promotion of recycling and the circular economy, are needed to mitigate these effects.

Table 1: Characteristics of raw materials used in lithium-ion batteries.

<table>
<thead>
<tr>
<th>Raw Material</th>
<th>Function</th>
<th>Extracting Methods</th>
<th>Major Producing Nations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lithium</td>
<td>Helps batteries function for a long time and has a high energy density [18]</td>
<td>Mining from hard rock resources and extraction from brine deposits [19]</td>
<td>Australia, Chile, Argentina, China</td>
</tr>
<tr>
<td>Cobalt</td>
<td>Increases battery’s energy density and stability</td>
<td>Mostly produced in the Democratic Republic of the Congo (DRC)</td>
<td>Democratic Republic of the Congo (DRC)</td>
</tr>
<tr>
<td>Nickel</td>
<td>Enhances overall performance and energy density [20]</td>
<td>Mined and processed from sulfide and laterite ores</td>
<td>Russian Federation, Philippines, Indonesia</td>
</tr>
<tr>
<td>Graphite</td>
<td>Anode material, stores and releases lithium ions</td>
<td>Natural graphite: China, Brazil, India; Synthetic graphite: Primarily made in China and Japan from petroleum coke</td>
<td>China, Brazil, India, China, Japan</td>
</tr>
<tr>
<td>Manganese</td>
<td>Supports battery stability and security [21]</td>
<td>Mined and processed from manganese ores</td>
<td>South Africa, Australia, Gabon</td>
</tr>
<tr>
<td>Aluminum and Copper</td>
<td>Current collectors and conductive materials</td>
<td>Mined and refined in numerous nations across the world</td>
<td>All over the world</td>
</tr>
</tbody>
</table>

4 Responsible Mining Regulations and Case Studies

To lessen mining’s environmental impact, sustainable practices and strict rules are required. Environmental Impact Assessments (EIAs) help in assessing the environmental and social impacts of mining while rehabilitating mined areas and preserving biodiversity forms part of sustainable mining. Other crucial aspects include effective water management, energy efficiency, waste management, community participation, and responsible sourcing. These practices and legal compliance help to lessen the environmental impact of lithium-ion battery raw material extraction while benefiting regional populations and ecosystems [22–25]. Several initiatives and certification programs, like the Initiative for Responsible Mining Assurance (IRMA) and the Responsible Minerals Initiative (RMI), encourage ethical sourcing of raw materials. These initiatives support human rights, fair labor practices, and discourage illegal mining [26]. Case studies on raw material extraction further highlight extraction challenges and opportunities, which can be summarized as follows:

- Cobalt Mining in the Democratic Republic of the Congo (DRC): Issues of deforestation, water pollution, and child labor have been associated with cobalt mining, emphasizing the need for responsible sourcing, transparent supply chains, and environmentally friendly mining [27].
- Nickel mining in New Caledonia: Mining has resulted in habitat loss, soil erosion, and water contamination. Sustainable mining practices and communication with local communities can mitigate these effects.
- Lithium extraction in Bolivia, Chile, and Argentina: The studies have emphasized the need for effective water management systems due to the impact on fragile ecosystems and water resources.
- Graphite mining in China: The industry faces challenges related to air pollution, worker health, and waste management. Improving safety and environmental standards in graphite mining is necessary to protect human health and the environment [28].

In conclusion, these case studies and research findings demonstrate the challenges of extracting lithium-ion battery materials and the need for all stakeholders to work together in promoting sustainable mining. By employing responsible raw material extraction methods, the lithium-ion battery industry can reduce environmental impacts while improving social outcomes [29, 30].

5 Sustainable Battery Production

The battery industry, pivotal in producing lithium-ion batteries for electric vehicles (EVs) and other applications, requires substantial energy and resources. Particularly, the production of cathodes and anodes for batteries necessitates laborious and energy-intensive processes. Techniques such as heat sealing or ultrasonic welding for encapsulating battery cells are also energy-demanding, necessitating a focus on energy efficiency [31, 32]. Manufacturing lithium-ion batteries also involves the
use of various chemicals and materials, key to the operation, safety, and performance of the battery. These include lithium salts, organic solvents, cathode materials, anodes, separators, binders, conductive additives, and current collectors [14, 33–35].

The choice of these chemicals and materials significantly influences the performance, safety, and environmental sustainability of batteries. Significant environmental issues can arise from the manufacturing of lithium-ion batteries. These primarily stem from greenhouse gas emissions from energy generation, raw material extraction and processing, electrode manufacturing, cell assembly, and other industrial processes [5, 36, 37]. To meet rising demand while minimizing environmental harm, it is essential to adopt several sustainable practices. These practices include enhancing energy efficiency, incorporating renewable energy, promoting recycling and waste reduction, implementing sustainable material sourcing, optimizing water and chemical management, and taking into account lifecycle impacts [38–41]. Efforts to enhance energy efficiency in battery production can include advanced manufacturing technologies, process optimization, use of renewable energy sources, and adopting a lifecycle approach [42, 43]. To reduce the environmental impact of battery production, measures such as energy efficiency, use of renewable energy, responsible material sourcing, chemical management, and recycling and circular economy can be implemented. Effective recycling technologies can recover valuable materials from used batteries, reducing waste and the need for new raw material mining [44]. Furthermore, collaboration among manufacturers, industry groups, policymakers, and research organizations is crucial for developing sustainable practices and industry standards. This can help reduce energy consumption, carbon emissions, and improve the overall sustainability of battery manufacturing.

6 Vehicle Operation, Charging, and Comparison

Electric vehicles (EVs) primarily employ lithium-ion batteries as their energy storage technology, which significantly influences their performance, driving comfort, and range [45]. The battery power, which enables rapid acceleration, and the use of regenerative braking, underline the efficiency of the electric propulsion system. EVs also use advanced Battery Management Systems (BMS) to monitor temperature, voltage, and state of charge, thus ensuring battery performance, security, and longevity [46]. Charging EVs is facilitated by charging stations, which can range from home units to public fast-charging stations. The energy generation mix employed to charge EV batteries impacts the indirect emissions of EV operation. The mix can consist of nuclear, renewable, and fossil fuels (coal, natural gas, and oil), with the type of energy sources used greatly affecting the carbon dioxide and overall environmental impact of EV operation [47]. The transition to renewable energy in power generation is crucial for reducing indirect emissions from EV operation. Moreover, the use of smart charging and grid flexibility can optimize the use of renewable energy and reduce indirect emissions. Vehicle-to-grid (V2G) technology can further enhance renewable energy integration and grid stability [48]. Effective charging infrastructure, including considerations of efficiency, accessibility, grid integration, and renewable energy usage, can improve EV convenience and reduce environmental impact. A comprehensive life cycle assessment (LCA) should consider both direct and indirect emissions to accurately evaluate the environmental impact of EVs and the charging infrastructure [49, 50]. When comparing internal combustion engines (ICEs) with EVs, aspects such as performance, efficiency, and environmental impact come into play. EVs have numerous advantages over ICEs, including reduced energy consumption, decreased pollution, lower operating and maintenance costs, and noise reduction [51]. However, challenges, including a shorter range and slower refueling, persist. Nevertheless, improvements in technology and charging infrastructure are mitigating these issues, positioning EVs as a promising alternative to ICEs for a sustainable future [52].

7 End-of-Life Management of EV Lithium-Ion Batteries

The longevity of lithium-ion batteries is crucial to electric vehicle (EV) battery end-of-life management. An EV’s lithium-ion battery lifespan is determined by factors like battery chemistry, usage, operational conditions, and management. Typically, these batteries can last 8–15 years or longer, with degradation gradually reducing capacity and performance [53]. After their usage in EVs, lithium-ion batteries often retain a significant amount of capacity, making them suitable for other applications like grid stabilization, backup power, and renewable energy integration. Furthermore, recycling these batteries allows the recovery of valuable materials like lithium, cobalt, nickel, and manganese, thus reducing the need for extracting fresh raw materials [54]. Proper battery disposal is crucial to reducing the environmental impact of these batteries. When improperly disposed of, batteries can release harmful elements into the environment. To mitigate this, battery recycling helps conserve precious resources and promotes resource sustainability [55]. Effective battery recycling requires efficient collection, separation, safe transportation, and handling. Different battery chemistries require different recycling methods, making the sorting process crucial for material recovery. Recycling facilities need to follow set standards for battery handling, transportation, and recycling, to avoid environmental damage and legal issues [56, 57]. Battery recycling faces several challenges including complex battery chemistries, increased volume of used batteries, safety concerns, insufficient infrastructure, environmental implications, and achieving high material recovery rates [54, 58]. Overcoming these challenges requires collaborative efforts from researchers, industries, and governments. In summary, effective end-of-life management of EV lithium-ion batteries involves maximizing their lifespan, exploring second-life uses, and ensuring efficient recycling. These practices can lead to a more circular economy for EV batteries, optimizing value, reducing waste, and improving electric mobility.
8 Life Cycle Assessment Studies on Lithium-Ion Batteries for EVs

8.1 Selected LCA Studies and Comparative Analysis

Numerous Life Cycle Assessment (LCA) studies have evaluated the environmental footprint of lithium-ion batteries for Electric Vehicles (EVs), providing valuable sustainability insights. This section scrutinizes notable LCA studies on lithium-ion batteries for EVs, focusing on methodologies, assumptions, critical findings, and comparative analyses. LCA studies follow principles outlined in ISO 14040:2006 [59], providing a comprehensive life cycle evaluations framework. They consider facets of the battery life cycle, including raw material extraction, battery manufacturing, vehicle operation, and end-of-life management. Identifying system boundaries is crucial, including the functional unit to express the functional performance of the battery, such as energy capacity or lifespan mileage. LCA results are often compared to offer valuable insights into EVs’ environmental sustainability by comparing life cycle effects of various battery technologies and manufacturing processes. For instance, according to numerous LCA studies, lithium-ion battery-powered EVs emit fewer greenhouse gases than conventional internal combustion engine (ICE) vehicles [60, 61]. Most pollutants stem from the battery’s manufacturing process, and the mix of power generation utilized during the operational phase significantly influences the potential overall reduction in emissions. Comparative LCA studies serve as valuable resources for stakeholders in the EV and battery industries, as well as policymakers. Research findings can be leveraged to craft regulations, standards, and incentives that promote the adoption of EVs and environmentally friendly battery production.

8.2 Identification of Data Gaps and Uncertainties

Reliable data is critical for accurately assessing the environmental impact of lithium-ion batteries for EVs. Although LCA studies offer valuable information about the viability of battery technologies, it is crucial to recognize and address data gaps and uncertainties. Obtaining accurate data on the extraction and processing of raw materials used in lithium-ion batteries is a major challenge in LCA studies. Data gaps on the environmental impacts of mining operations, especially in countries with less transparent reporting systems, are prevalent. Accurate impact assessment is further complicated by scarce data on energy use and emissions associated with raw material extraction. LCA studies on lithium-ion batteries for EVs can yield a range of outcomes using various impact assessment methods. Selection of impact categories, characterization criteria, and weighting factors can influence results. Maintaining consistency and comparability across studies can be challenging, especially when different LCA databases and tools are used. Researchers, industry stakeholders, and policymakers need to work collectively to address data gaps and uncertainties in LCA studies on lithium-ion batteries for EVs. By acknowledging and addressing these data gaps and uncertainties, LCA studies can provide more comprehensive and insightful assessments of the environmental impacts of lithium-ion batteries for EVs.

9 Policy Implications, Future Directions, and Innovations in Battery Technology

Life cycle assessment (LCA) studies highlight the environmental implications of lithium-ion batteries used in electric vehicles (EVs). Their findings can guide policy decisions and steer research towards sustainable transportation practices. This comprehensive overview brings together the primary areas for policy consideration, strategies for promoting sustainable battery production and recycling, research and development priorities, and potential future innovations in EV battery technology.

9.1 Policy and R&D Considerations for Sustainable Battery Practices

LCA studies unveil the environmental hotspots and impacts associated with battery production, emphasizing the necessity for adequate recycling and end-of-life management. Therefore, policies should prioritize sustainable battery manufacturing practices, such as using cleaner energy sources, improving process efficiency, and incorporating less hazardous materials [62, 63]. The establishment of comprehensive recycling infrastructure and collection systems can optimize resource recovery and minimize environmental pollution. Encouraging collaboration among academia, industry, and government can spur innovation in battery chemistry, manufacturing methodologies, and recycling technologies. This involves funding research initiatives that focus on energy-efficient manufacturing processes, sustainable battery materials, and advanced recycling procedures. These actions can expedite the progression of greener battery technology and a more sustainable transportation industry [1, 63–65]. Policy interventions must establish environmental standards and rules for battery production, focusing on minimizing the environmental impacts associated with raw material extraction, production procedures, and waste management. Regulations limiting resource use, pollution, and the use of hazardous materials can motivate companies to adopt more eco-friendly production methods. Moreover, robust certification programs that assess batteries’ environmental performance can guide consumers towards greener products.
9.2 Future Improvements and Innovations in EV Battery Technology

Future prospects for EV battery technologies are promising, with an array of potential enhancements on the horizon. These include enhancing energy density to facilitate extended driving ranges, advancements in charging infrastructure to reduce charging times, increasing battery lifespan and endurance to minimize environmental impact, and cost reduction to make EVs more affordable and competitive [66]. Furthermore, enhancements in environmental sustainability throughout a battery's lifetime are essential. This involves mitigating environmental impacts of raw material extraction, refining production processes to minimize energy use and waste, and developing efficient recycling and resource recovery methods. Exploration of sustainable and alternative materials like lithium from geothermal brines and cobalt-free cathode chemistries can alleviate environmental concerns associated with battery production [67, 68]. Finally, future EV battery technology can aid in integrating renewable energy sources. Enhancements in energy storage technology can accelerate broad adoption of renewable energy systems by enabling efficient energy storage and grid integration. Through implementing these strategies, policies, and research priorities, it is possible to foster an environment conducive to the sustainable development and deployment of lithium-ion batteries for EVs. Collaboration, innovation, and informed decision-making are paramount to establishing a more environmentally friendly and sustainable transportation industry.

10 Conclusion

This paper offers an extensive study on the environmental impacts of lithium-ion batteries in electric vehicles (EVs), examining each phase of their lifecycle: extraction of raw materials, battery manufacturing, vehicle operation, and end-of-life management. The research highlights the importance of Life Cycle Assessment (LCA) for evaluating the environmental influence of lithium-ion batteries. It provides a comprehensive understanding from the stage of raw material extraction to the end-of-life management. Further, this study deliberates on the environmental effects of lithium-ion battery extraction and manufacturing, emphasizing the critical need for sustainable practices and legislative measures for impact mitigation. It underlines the necessity for a transition to renewable energy sources to reduce indirect emissions resulting from EV charging, brought to focus through an examination of the role of lithium-ion batteries in EV operation. This paper also sheds light on the significance of efficient end-of-life management for EV batteries, spotlighting potential recycling methods and strategies for resource recovery to alleviate environmental concerns and increase resource efficiency. It also provides a wide-ranging literature review on the environmental impact of lithium-ion batteries for EVs through a review of numerous LCA studies, thereby aiding comprehension and informed decision-making. Finally, the study accentuates the need for fostering environmentally friendly battery manufacturing and recycling practices through supportive regulations and incentives. With these findings, several key recommendations are proposed for future research and practice in the realm of lithium-ion batteries for EVs. These include conducting long-term environmental impact assessments, encouraging technological advancements, adopting a circular economy approach, assessing policy effectiveness, enhancing stakeholder engagement, creating standardized reporting frameworks, and exploring effective public outreach strategies and educational initiatives. This study enhances the understanding of the environmental impact of lithium-ion batteries for EVs, supporting the ongoing efforts to create a more sustainable transportation sector. Emphasizing the importance of a life cycle view when assessing the environmental impact of lithium-ion batteries for EVs, it underscores the need for sustainable practices across all stages - from ethical raw material extraction and efficient manufacturing to effective end-of-life planning. The insights presented herein can guide policymakers, industry stakeholders, and researchers in the transition toward a greener and sustainable transportation system.

Declaration of Competing Interests

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Author Contribution

Sarvesh Kumar: Conceptualization, Methodology, Supervision, Writing - review and editing. Upasana Gupta: Investigation, Visualization, Writing - original draft. Arvind Kumar Singh: Investigation, Visualization, Writing - original draft. Avadh Kishore Singh: Resources, Investigation, Visualization, Writing - original draft.
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